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Applicant: Timoth

Timothy R. Ryan et al.

Examiner: William H. Matthews

Serial No.:

09/982,299

Group Art Unit: 3738

Filed:

October 16, 2001

Docket No.: M190.137.101

Title:

ANNULOPLASTY BAND AND METHOD

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicants further request a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Supplemental Information Disclosure Statement is being concurrently submitted with a Request for Continued Examination and thus before the mailing of the first Office Action after the filing of the Request for Continued Examination, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' representative at **Supplemental Information Disclosure Statement** 

Applicant: Timothy R. Ryan et al.

Serial No.: 09/982,299 Filed: October 16, 2001 Docket No.: M190.137.101

Title: ANNULOPLASTY BAND AND METHOD

the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Timothy R. Ryan et al.,

By their attorneys,

DICKE, BILLIG & CZAJA, PLLC Fifth Street Towers, Suite 2250 100 South Fifth Street Minneapolis, MN 55402

Telephone: (612) 573-2004 Facsimile: (612) 573-2005

Dated: MAI 9, 2005

TAC:jmc

Reg. No. 39,649

## CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this \_\_\_ day of May, 2005,

Name: Timothy A./Czaja

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/F-0475-1048

Sheet 1

## Filing Date First Named Inventor Art Unit

**Application Number** 09/982,299 October 16, 2001 Timothy R. Ryan 3738 William H. Matthews **Examiner Name** 

Complete if Known

STATEMENT BY APPLICANT (Use as many sheets as necessary)

INFORMATION DISCLOSURE

MAY 1 1 2005

Attorney Docket Number M190.137.101

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,565,603	05-20-2003	Cox	
-		<sup>US-</sup> 6,102,945	08-15-2000	Campbell	
•		<sup>US-</sup> 5,824,066	10-20-1998	Gross	
		<sup>US-</sup> 5,860,992	01-19-1999	Daniel et al.	
		<sup>US-</sup> 6,602,289	08-05-2003	Colvin et al.	
		<sup>US-</sup> 6,159,240	12-12-2000	Sparer et al.	
		<sup>US-</sup> 2003/0125715 A1	07-03-2003	Kuehn et al.	
		<sup>US-</sup> 2003/0045929 A1	03-06-2003	McCarthy et al.	
		<sup>US-</sup> 4,164,046	08-14-1979	Cooley	
		<sup>US-</sup> 4,182,446	01-08-1980	Penny	
		<sup>US-</sup> 5,376,112	12-27-1994	Duran	
		<sup>US-</sup> 5,258,021	11-02-1993	Duran	
		<sup>US-</sup> 5,593,424	01-14-1997	Northrup III	
		<sup>US-</sup> 5,601,576	02-11-1997	Garrison	
		<sup>US-</sup> 5,972,030	10-26-1999	Garrison et al.	
		<sup>US-</sup> 3,966,401	06-29-1976	Hancock et al.	
		<sup>US-</sup> 4,050,893	09-27-1977	Hancock et al.	
		<sup>US-</sup> 5,290,300	03-01-1994	Cosgrove et al.	
		<sup>US-</sup> 5,496,336	03-05-1996	Cosgrove et al.	

		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
		WO 00/74603	12-14-2000	PCT		
		0 257 874	02-03-1988	EPO		
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

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Sheet 2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

MAY 1 1 2005

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of 2

Complete if Known				
Application Number	09/982,299			
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Examiner Name	William H. Matthews			
Attorney Docket Number	M190 137 101			

			U. S. PATENT	DOCUMENTS	
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		<sup>US-</sup> 5,683,402	11-04-1997	Cosgrove et al.	
		<sup>US-</sup> 6,197,052	03-06-2001	Cosgrove et al.	
		<sup>US-</sup> 6,695,866	02-24-2004	Kuehn et al.	
		<sup>US-</sup> 6,165,183	12-26-2000	Kuehn et al.	
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FOREIGN PATENT DOCUMENTS							
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Signature		Considered	

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.